

NATIONAL FINANCIAL SERVICES, LLC TAX FORM DISTRIBUTION DATES

*Dates subject to change

For clients not utilizing eDelivery, forms are mailed within five business days of posting online.

Tax Forms	Available Online	Mailed By Date
Retirement tax forms: 5498/1099-R	1/19/2024	1/26/2024
1099: Mailing 1	1/20/2024	1/26/2024
1099: Mailing 2	2/10/2024	2/16/2024
1099: Preliminary Tax Statement	2/10/2024	Online Only
1099: Mailing 3	2/24/2024	3/1/2024
1099: Mailing 4	3/06/2024	3/13/2024

1099 form delivery deadline for 2024 is February 15.

In order to provide accurate and timely tax statements, and to send only one tax form whenever possible, forms are generated when National Financial Services, LLC has received and processed all anticipated relevant tax information. Most 1099 tax forms for Tax Year 2023 will be available online between January 20, 2024 and March 06, 2024.

If a Preliminary Tax Form is posted to your account:

- Final tax reporting information is expected beyond February 15, 2024, a "Preliminary" tax statement will be available online by February 10, 2024
- This form provides a single view of current tax information to assist in determining early tax liability
- The accompanying cover letter specifies a list of positions that are pending final tax information, when applicable
- The Preliminary Tax Statement is only available online, is not reported to the IRS, and cannot be used for tax filing purposes
- A 30-day extension is requested from the IRS to permit the generation of some 1099 tax forms after February 15, 2024
- National Financial Services will request this extension because not all issuers send final information in time to meet the standard February 15, 2024, IRS 1099 form delivery deadline
- An extension reduces the need to send a corrected form

Once National Financial Services receives the final information needed, all 1099 tax forms will be available online no later than March 15, 2024. The 1099 tax forms to be generated after February 15, 2024 will not be definitively known until after the standard IRS mailing deadline because of the dependency on issuers providing final tax reporting information.

If you expect to receive a 5498-tax form for Tax Year 2023:

By January 19, 2024, eligible retirement account 5498 forms are expected to be available online to report fair market value and any contributions made in 2023. If prior-year contributions are made by April 15, 2024, an updated Form 5498 will be generated by the end of May to reflect 2024 contributions made in the 2023 tax year.

Other forms:

1099-R is expected to be available online by January 19, 2024.

1042-S (non-retirement) is expected to be mailed by March 08, 2024.

When a corrected tax form is received after a tax return filing, clients should consult with their tax professional to determine if amendments to their tax return are required. Corrected tax forms may be required in a variety of situations, most commonly due to the reclassification of income by an issuer at any point in time during 2023 or even later years.



For questions, please contact your financial professional.

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