

## 1099 INFORMATION – PERSHING

INFORMATION FOR FINANCIAL PROFESSIONALS AND CLIENTS

Pershing's technology utilizes both a desktop (NetX360) and a web (NetX360®+) version in addition to NetXInvestor® for clients. Instructions or paths for each are included below.

### **Pending 1099 information during tax time can be viewed here:**

- NetX360: E-Document Suite
- NetX360+: IBD/OFF/IP – Documents
- NetXInvestor: Communications tab > All Communications or All Documents > Tax Documents

### **Retail 1099 – Missing non-covered cost basis**

- Only available on the client's December statement
- From the clients account, view Documents > E-Document Suite > Tax Documents

### **Courtesy 1099**

- NetX360: Documents > E-Document Suite > Tax Documents
- NetX360+: Document Center > Documents > Tax Documents
- NetXInvestor: Communications tab > All Communications or All Documents > Tax Documents
- Additional Information:
  - » Available only for Corporate Accounts (S or C) and foreign accounts
  - » Excludes IRA and qualified plans
  - » Information is posted to E-Document Suite (Netx360 only) after the mid to late February phase three mailing
  - » This information cannot be mailed, it is only available electronically
    - NetX360: See E-Document Suite
    - NetX360+: Clients can access the document via NetXInvestor
  - » These are provided for informational purposes only and are not furnished to the IRS
- Clients are encouraged to utilize electronic delivery of tax documents to avoid mail delays and easy access if a copy is required
- Regardless of tax form delivery preference, clients can access their documents online



For questions, please contact your financial professional.

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